

## **Topics**



- NIEHS Program Highlights
- Future Meetings/Workshops
- WETP Curricula Issues
- NIH Update Highlights



## **Players**



### NIEHS WETB and Grants Management Staff

Joseph "Chip" Hughes, Sharon Beard, Ted Outwater,
 Patricia Thompson, James Remington, Carolyn Mason and Pamela Evans.

### NIEHS Clearinghouse

 Bruce Lippy, Michael Baker, Donald Elisburg, John Moran, Ellen Baker, Larry Reed, Manfred Stanfield, Paul Bisceglia, Maria Polis, Rachel Gross, and Derek Beck

### Lockheed Martin and Alpha Gamma

Eric Steele and Lynn Albert

#### NIEHS

Davenport Robertson





Joseph T. Hughes, Jr.

**Director** 

Worker Education and Training Program Division of Extramural Research & Training

### FY 2005 Funding



THOMAS

Legislative Information on the Internet

Committees

CONFERENCE REPORT ON H.R. 4818, CONSOLIDATED APPROPRIATIONS ACT, 2005

**VA, HUD Appropriations for FY 2005 for NIEHS:** 

http://thomas.loc.gov/cgi-bin/query/Z?r108:H19NO4-0085:e4497336:489385

**Department of Health and Human Services** 

**National Institutes of Health** 

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

Appropriates \$80,486,000 as proposed by the House and the Senate.

**Energy and Water Appropriations 2005 for NIEHS:** 

http://thomas.loc.gov/cgi-bin/guery/Z?r108:H19NO4-0085:e2725728:170875

**Defense Environmental Services** 

The conference recommendation for Defense Environmental Services in fiscal year 2005 is \$937,976,000.

[Page: H10559] Non-Closure Environmental Activities.--The conference agreement is \$146,038,000. Within available funds, the conference agreement directs the Department to provide \$10,000,000 for the Hazardous Waste Worker Training Program and \$8,000,000 for the Volpentest Hazardous Materials Management and Emergency Response (HAMMER) training and education center.

#### **New NIEHS Director**





November 26, 2004 His forte is finding the forefront

**Catherine Clabby; Staff Writer** 



David A. Schwartz is a scientific gumshoe.

He tracks environmental hazards that hurt people, whether grain dust that's poisoning farmhands or chemicals that harm some asthma patients more than others.

Soon, he'll be on the case for the entire nation.

In April, Schwartz becomes director of the National Institute of Environmental Health Sciences, that million-square-foot research center tucked inside stands of pines in Research Triangle Park.

## Thanks to Herman Potter & the HAMMER trainers for protecting Tank Farm Workers in DOE!





#### NIOSH HEALTH HAZARD EVALUATION REPORT

HETA 2004-0145-2941 CH₂M Hill Hanford Group, Inc. and United States Department of Energy, Office of River Protection Richland, Washington Over time, the vapors within the tank head space will Employees are exposed to vapors during work activities.

Interviewed workers reported acute and chronic health workers have not been routinely provided with PPE for Exposure and components of vapor exposures are difficulties in the exposure monitoring has often not been done at the time

**July 2004** 

**Nuclear Safety** 

Hanford Contractor Completes Waste Review; 52 Chemicals of Potential Concern Identified

Over 1,800 chemicals have been identified as present or potentially present in the tank waste at the Energy Department's Hanford site in Washington state, according to a report from a contractor responsible for storing tank waste and retrieving it for treatment.

## Thanks to the Clearinghouse for a great Spring Workshop!



## NIEHS WORKER EDUCATION AND TRAINING PROGRAM Spring 2004 Technical Workshop





# TRAINING PARTNERSHIPS FOR PREVENTION, PROTECTION AND PREPAREDNESS

## **Thanks to all our new Homeland Security Partners!**





## Thanks to Rod Turpin & the EPA Superfund Labor Task Force



EPA Awards Ceremony, June 2004, Washington, DC



## Good job by PACE et al on chemical security!





November 29, 2004 Vol. 82, Iss. 48 November 22, 2004 Volume 82, Number 46 pp. 51-53



### NEW VOICES FOR PLANT SECURITY Chemical, rail unions want company antiterrorism planning to include workers

- OUT OF CONTROL Five workers died, two were seriously injured, a nearby community was evacuated, and fires burned for days following a vinyl chloride explosion at the Formosa Plastics plant in Illiopolis, Ill., in April.
- STATE JOURNAL-REGISTER/KEVIN GERMAN JEFF JOHNSON, C&EN WASHINGTON
- Chemical and transportation workers who make, use, or move large quantities of hazardous materials are
  on the front lines of a battle to keep terrorists from employing industrial chemicals as weapons of mass
  destruction. Yet unions that represent these workers say their members are in the dark about what
  precautions companies may be taking to lower the risk or impact of a terrorist attack.
- Unions also warn that from what they see, companies are relying mostly on security measures--guns, guards, and gates--rather than taking steps to reduce the impact of a chemical explosion or release at an industrial site. Consequently, union workers worry that they may be sitting ducks in the case of a successful terrorist attack.
- That view was brought home in a recent survey of chemical workers by the <u>Paper, Allied-Industrial</u>, <u>Chemical & Energy Workers International Union (PACE)</u> and at a Nov. 10 workshop in Washington, D.C., at the national meeting of the <u>American Public Health Association (APHA)</u>.

## Thanks To All The Grantees Who Worked Patiently with OSHA!!



U.S. Department of Labor Occupational Safety & Health Administration





U.S. Department of Labor Occupational Safety & Health Administration <a href="https://www.osha.gov">www.osha.gov</a> Office of Training and Education > OSHA Disaster Site Worker Outreach Training Program

OSHA Disaster Site Worker Outreach Training Program The Disaster Site Worker Outreach Training Program is a training program for Disaster Site Workers who provide skilled support services, (e.g. utility, demolition, debris removal, or heavy equipment operation) or site clean-up services in response to natural and manmade disasters. Specifically, it is recognized that all workers at disaster sites need to be aware of the differences between disaster sites and regular construction or demolition worksites and be able to inspect, don, and doff air-purifying respirators. Also, the program will make management and labor aware that pre-incident training is essential for ensuring disaster site worker safety and health.

## Thanks to all Worker Trainers in the WETP Network!





## Thanks for keeping our training — HANDS-ON!





### **Thanks to NIEHS Staff!**





## **MWT/BMWT Highlights**



### Sharon D. Beard

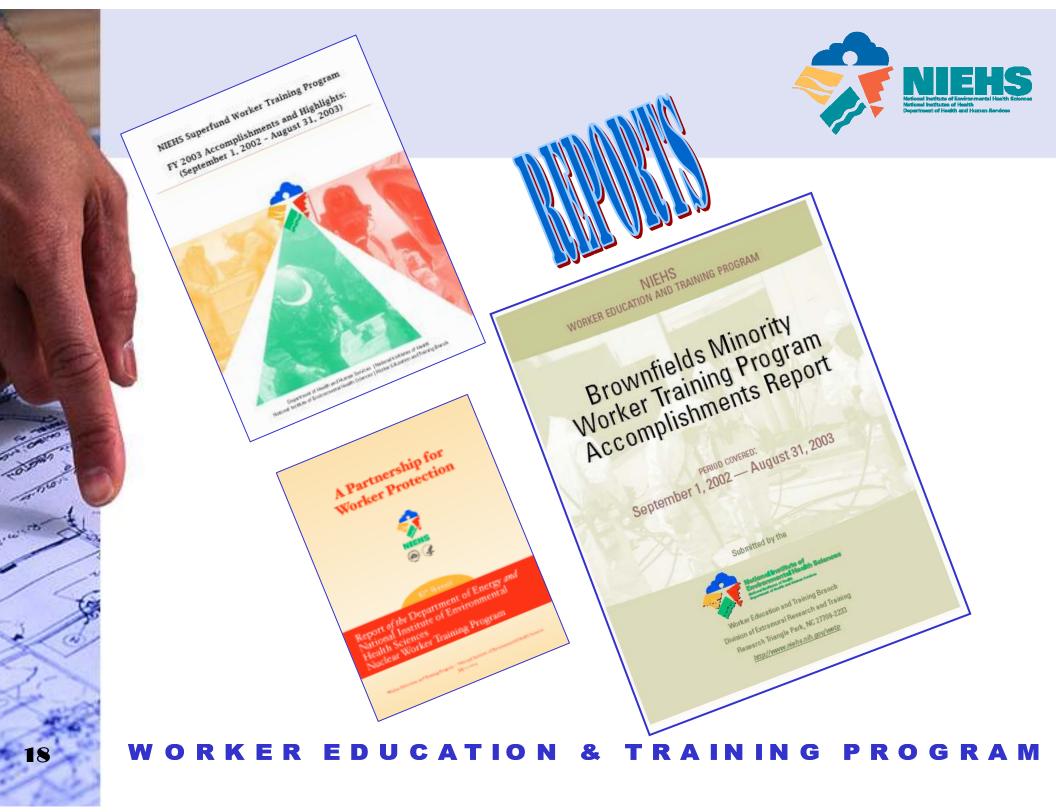
Industrial Hygienist/Program Administrator
Worker Education and Training Branch
Division of Extramural Research & Training
beard1@niehs.nih.gov

## **Report Preparation**



- Electronic format of progress reports and data is invaluable
- Ability to copy and paste into reports and other documents
  - + HWWTP/MWTP Report
  - DOE/NIEHS report
  - BMWTP report
  - Congressional Briefing
  - Fact Sheets, web pages, etc



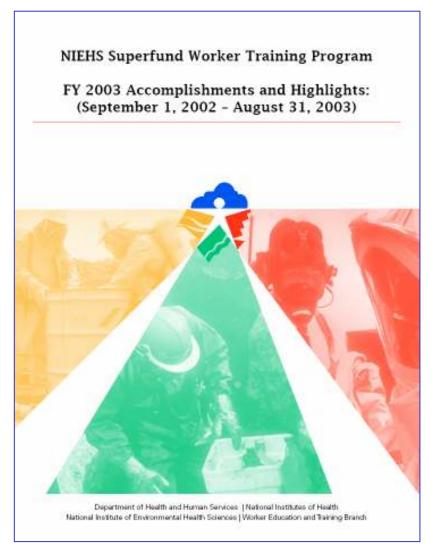


## **Minority Worker**



### Minority Worker Training Report

- In 2003, 310 students trained in 11 cities: 190 employed, with wages of \$6.50 to \$34.00.
  - In 2004, 257 trained with 219 employed for a 85% job placement rate. Overall, 2,628 young minority adults trained with 1,686 employed for a job placement rate of 64%.



## **Brownfields Training**





Brownfields Minority Worker Training Program Accomplishments Report

September 1, 2002 — August 31, 2003

Submitted by the



Worker Education and Training Branch
Division of Extramural Research and Training
Research Triangle Park, NC 27709-2233
http://www.niehs.nih.gov/wetp

## • Brownfields 2003 Report:

- 344 residents in 15 areas
- 247 graduates are now employed, a 72%
- employment rate.

#### 2004 data suggests:

274 trained with
 217employed for 79% job placement.

Overall, 2,885 residents trained with 1,905 of them employed for a 66% employment rate.

 Success Stories and Evaluations

## **Brownfields 2004:** St. Louis, MO





### SPECIAL

EPTEMEER 20 The National Institute of Environmental Health Sciences (NEHS) Brownfields Minority Worker Training Program (BMWTP) is proud to be participating in six sessions at Brownfields 2004. Since 1998, the NIBHS, through interagency agreement with the EPA, has awarded nearly \$3 million annually for training people of color to work safety and successfully in restoring Brownfield sites. The program has trained approximately 2,000 people with an excellent job placement rate of 60 percent. We encourage you to learn about the organizations we fund and the fives they've changed. Please join us in the following sessions.

#### CONNECTING THE \*\*\*\* (DOTS): Job Training for Community Residents

Monday, Sept. 20, 10:45 am - 12:15 pm (Level 1, Room D)

A panel of local elected or city officials discusses ways in which dilescan connect job training to local jobs for community residents. One specific community will share how it created a city ordinance to promote local hining in the deanup of brownfields and its success. in implementing and enforcing the ordinance. Other panelists will share their experiences and value of working with the Brownfield Minority Worker Training programs.

Kizetta Vaughn, The Center to Protect Workers' Rights

CHEMICA SPENSE Noemi Brento, City of Los Angeles Bonnie Philips, City of Cincinnati, Division of Flisk Management Carlos Martinez, City of East Pale Allo

Worter Schroetion and Training Stranch Rational institute of Swironmental Health Sciences nall in Blute of Earth of the American FO, Box 12285 Natl Deep EO-25 Please of Tringle Park, NO 27709-2233 919-541-0003 http://www.nisha.nit.gov/we/sp werpdnisha.nit.gov/ Mational Cleaninghouse for Worker Scriety and Health Training 1200 Connecticut Avenue, HW, Suite 610

Washington, DC 20086 200-301-7799 http://www.watp.org

National Institute of Emfronn Health Sciences, NH, DHHS

#### Community Engaging Community in the Decision Making Process

Touchey, Sept. 21, 11,00 pm - 12:30 pm (Lavel 1, Room Ch The Nation Lymonists Deep South Contactor for Environmental Settle has created a rediscoling to seems convenily upon and rechement to short country in seems on a red programmed chance. The "communicates" recisionces OCCUPATION for commandate to construct with scientific researchers and decision-motions on programs and projects that provide their right to be few of anisomers to be seen

Baveris Wright, Klavice University Deep SOUR CHEEF for Environmental Antico Myra Lawts, Xueler Linkersity-Deep South Center for Errotommental Aurice

Ning Welts, Carettal City Scorostic Opportunity Corporation

James Hopes, Greeke Trans Cornections People's bushda

#### Training Day: New Resources for Worker Health and Safety

Tuesday, Sept. 21, 11:00 pm - 52:30 pm (Level 1, Room 121)

Wartout midnly at Growwhich's when in the low; Toronton, as more projects come on these, effective worker building RESOURCES DISCOVER MOST PROPERTY SCHOOL COSTA requirements, training opportunities, and impossible training MEDICATION CHAINS THE TRANSPORTED SHIPTER.

Ted Outstater, National Institute of Creaters went Health Sciences Worker Education and Training Progress.

NELS WETP

Dean Rathle, New Lord Interactive Media.

Rod Tupin, U.S. EPA-ERT Sives Renderen B. U.S. Department of

Labor-DSI-IA Rad Smyder, Haterdoor Materials Training and Research butters, 1-84750

#### NIEHS Brownfields Job Training Meeting

Tuesday, Switt 21, 0000 am - 11000 am (Lavel 1, Room 126) The HEHS Brownload Manufin Worker Training Progents will have not creat reaching to stone and enchange on toward begins borned. All embrison and other quality, excluding CPS hashqueters, regional staff, and those interested in job tipleing locate are instead to attack the reading Sharott D. Board and Tail Outwater.

HIEHS WETP

**Switstion Only** 

#### Club Ferit Ask the Agencies

Baseloy Sept. 21, 1:45 pm - Sci5 pm (Level 2, Feom 235)

Screenwee the cety exhalics to be go straight to the source. Come with the fects your Drownhelds question during this exclusives "porce of carpers" section that survives federal againty discussores from ERE, EDA, UNIOA, HEID and other

Most Kitwara, International City/County Management Association, ICMA

Make Velde, USCA Hazardone Makeriak Management Capap

Sharon Board, NEHS WETP Purh Lodder, U.S. Department of the **MCMO** 

Setus Polly, U.S. General Services SPEAKER!

Administration

Sendy Bonade, U.S. Department of Coremone - Economic Development

Advantation

Linda Gorczynski, Office of Broundekin Chester and Padavelopment, CDA

Eldon Hout, Department of Commerce,

MONADORM

Constanto Hill, U.S. DCT Federal Highway Administration.

Dorcald Maters, U.S. Department of Housing:

and Urban Development Beveloy Getoer, U.S. Army Corps of

Engineers

#### Investing in Citizens: Putting People to Work

"Executor, Sept. 21, 9:30 pm - 5:00 pm (Level 1, Room (21)

investing in convenience is a fundamental concept of Brownbolds redevelopment. Squally important but often promoted is the read to build watersolds capacity of community existents. Come shore your ideas, experiences and perspectives on triange with developers, job creation. job tramma, job development, meighborhood involvement and more. This session will be an information exchange. If you have reasonable, please bring copies to stone with the group.

Michael Sesue, Hazardors Maturies Training and Resource Indexes, HadTes

Tipowan Reed, OAL Wo.

#### EPA OSWER Labor Task Force Booth

HEHE WETT a marker of the EPACONER Labor Teatrition, is wroting frough the Teatrition to place and sectiongs between the region with a restrict of the Constitution of the Constitution, is necessary through the constitution when the constitution of the Editor Hell. The Editor Hall will be open on Manday September 28 from the Walnuts, September 22, 2004. The book is Located in the Earlick Hall of the Accretion in Contract and Operation Co. Science States (Sales Earlick Hall Young of Operation). BOOTH \$823 & 825

## Brownfields 2004: St. Louis, MO



#### **Clearinghouse Newsbrief**



## Brownfields 2004 Gateway to Revitalization September 20-22, 2004

During the 9th Annual Brownfields Conference in St. Louis, Missouri, the National Institute of Environmental Health Sciences (MEHS) set the stage for six dynamic sessions pertaining to job training and worker safety and health at Brownfields sites. As a co-sponsor for the second consecutive year, NIEHS reached out to bownfield stakeholders, practitioners, and decision makers during this premier forum to highlight its Brownfields Minority Worker Training Program (BMWTP) and related uncoses stories.

In the mouth preceding the Conference, NIEHS invited the moderators who would be hosting sessions at the Conference to submit a training success story related to their Brownfields activities supported by NIEHS. As a result, much enthneissen was treated during those weeks in which four success stories, under the theme "Protecting our Workers - Involving our Communities," were posted in the Worker and Education Training Program (WETP) electronic newsbrief. Please visit the WETP newsbrief archives for the weeks of August 20 – September 10, 2004 to view these postings.

Representative of the progress which has been made regarding all aspects of brownfields remediation, the NIEHS success stories and sessions coherively tied in with the opening remarks presented by the Environmental Protection Agency (EPA) Administrator, Michael Lewitt. During the bickoff plenny session, Mr. Lewitt suphasized, "Successful brownfields projects are always collaborations. Think of these collaborations as problem-solving networks." Much to the benefit and appreciation of the BMWTP and the respective NIEHS grantee community, the Administrator concluded his presentation by using an example of a successful job trainee from one of the Brownfields programs and thus stressing the need to successfully integrate all aspects of job traines with this collaboration.

The first NIEHS sponsored session, Connecting the Dote: Job Training for Community Residents, supported Administrator Learnin's comments. Moderated by Kizetta Venighn of the Center to Protect Workers' Rights, this kickoff session consisted of a panel of local elected or city officials who led discussions about ways in which cities could connect job training to local jobs for community residents. Noemi Emeric began the panel by authorisatically discussing of how the City of Los Angeles makes this connection. She focused on the vaccess of the Los Angeles Conservation Corps – including the Center to Protect Workers' Rights – to demonstrate how a high job placement rate can be bolstered through tracking students for the first year, offering supportive services such as

#### NIEHS Brownfields Job Training Meeting

- Share Program Accomplishments
- Discuss Local Hire Issues
- Share Lessons Learned and Best Practices in Recruitment, Job Placement and Emerging Environmental Training Fields

#### We were involved.....

- Connecting the ··· (DOTS): Job Training for Community Residents
- Community: Engaging Community in the Decision Making Process
- Training Day: New Resources for Worker Health and Safety Training
- Club Fed: Ask the Agencies
- Investing in Citizens: Putting People to Work
- EPA/OSWER Labor Taskforce Booths # 823 & 825

### **DOE and HWWTP Highlights**



Ted Outwater

Public Health Educator/Program Administrator

Worker Education and Training Branch

Division of Extramural Research & Training

## **About your application...**







## **HWWTP Report**



- A Network of Security for the Worker, the Community, and the Nation
- Who are these consortia? Who benefits from their services? How many benefit? Are there specific examples or anecdotes from their programs that provide useful insights into the nature of health and safety

training in this country?

## 17 Consortia, 17 Stories



- UMDNJ: Going the Extra Mile
- NPRF: Protecting Those Who Protect Us
- TNEC: Buzzard' Bay Oil Spill
- UAW: Containing the Minor to Prevent the Major
- UAB: How a Life is Saved
- IUOE: A History of Response, A Future of Partnership
- PACE: Saving Lives through the Triangle of Prevention
- GMCLS: The Dine Riding the Rails for Worker Safety
- SEIU: The Dangers of Health Care
- L-AGC: When Training Really Counts The Ricin Incident
- IAFF: When One Is More Train-the-Trainers
- ICWU: High School Science Labs Is Your Child at Risk?
- Midwest Consortium: Superfund Workers/Superfund Communities- A Core Concern
- CPWR: Using the New Technologies CPWR's New DVD-based Course
- CAC: Using Your Training A Few Comments
- AFSCME: One System for Measuring Trainee Performance
- HMTRI: Leading the way with Blended Learning

## **DOE Report**



Theme: A Partnership for Worker Protection
 Progress Report Abstract, Training
 Accomplishments,
 Training Effectiveness, Curricula Update, Advisory
 Board
 Activities, Trainee Follow-up, and Instructor
 Support.

Photos: provided by DOE

Feedback

## **Mold Update**



- Final Revisions to Guidelines for the Protection and Training of Workers
- Excellent feedback and comments, including AIHA
- Are already having an impact "the Green Book"
- Will be presented at the AIHA annual meeting in May, 2005
- Future Plans Distribution

### **Future Meetings and Workshops**



 March 30-April 1, 2005 Manhattan Beach, CA

October 19-21, NIEHS RDU, NC

 SPRING 2006 – Should there be a National Trainers Exchange?

### **WETP Curricula Issues**



## Patricia P. Thompson

**Program Analyst** 

Worker Education and Training Program

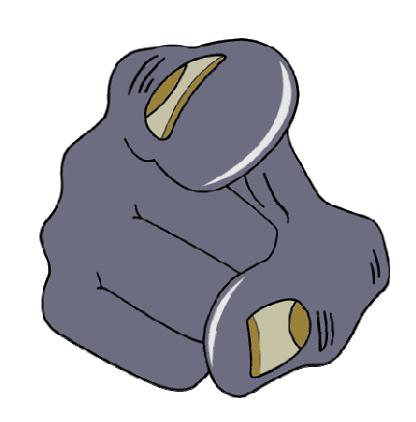
Division of Extramural Research and Training

919-541-0117

thompso2@niehs.nih.gov

## **NIEHS Needs Your Help!**





**Update the Online WETP Curricula Catalog** 

## **Online Curricula Catalog**



The Online Curricula Catalog contains direct access to training curricula produced by the awardees currently funded by the NIEHS Worker Education and Training Program.

## **Curricula Catalog Agenda Items**



 Grantee-Produced Materials and the Law of Fair Use

Curricula Catalog Updates

 Demonstration of the Updates to the Curricula Uploading System

### **Thank You!**



Thanks to the efforts of the awardees, many of the course materials offered through the WETP Curricula Catalog are now available electronically.



## We Need Your Help to Update the Catalog Even Further...



- Course materials listed in the online catalog that are outdated
- Curricula that awardees listed in the curricula catalog, but never uploaded

Courses offered, but not included in the curricula catalog

## **WETP Three Step Process**



#### WETP Three Step Process

#### Step One

 View the course materials that your organization currently has posted on the VVETP.org site by visiting the following link: http://www.vvetp.org/vvetp/public/.

#### Step Two

- Evaluate each course and its associated materials based on the following questions:
  - Are the titles of each course and affiliated materials accurate?
  - 2. Is the most recent version of each course material such as curricula, handbook, and other text material posted electronically as a pdf document?
  - 3. If it is not possible to post an item such as CDs and 35mm slides electronically as a pdf file, is the respective "material type" accurate? If not, please use the DMS to make the correction. (For example, some materials are listed as 35mm slides, but are actually available as PowerPoints or paper documents).
  - Are the course materials still available and used? If not, please delete the course material listing from the online listing.

#### Step Three

 Make all revisions to the WETP Curricula Catalog online listings through the DMS system and by working with the Clearinghouse. Please call Maria Polis at 202.331.0060 with any questions about this process.

### **Adobe Acrobat**



- All curricula must be uploaded as a Adobe Acrobat (pdf) file only.
- Send a copy of CDs or DVDs to the Clearinghouse.

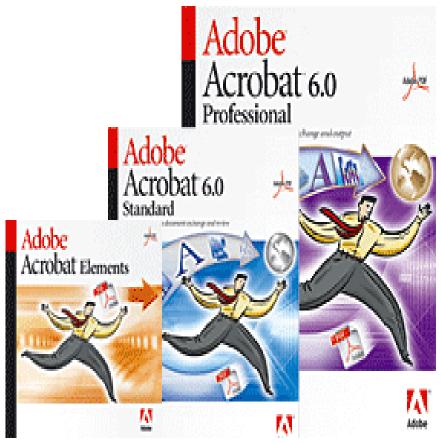


Image found at www.Adobe.com

### **Curricula Uploading**



Combine course materials into one PDF

Download/Combine /Upload

Latest version

Material Type	Material Title	Edition/ Pub. Date	Size
Manual	24-hour Hazardous Waste Participant Manual.	January, 2001	9 chapters w/ appendix

Associated Electronic Files					
Filename	Size	Date			
A - FRONTCOVER.pdf	36 KB	06/15/2001			
B - Table of Contents.pdf	30 KB	06/15/2001			
C - Introduction.pdf	32 KB	06/15/2001			
Chapter 01.pdf	163 KB	06/15/2001			
Chapter 02 - a.pdf	168 KB	06/15/2001			
Chapter 02 - b.pdf	349 KB	06/15/2001			
Chapter 02 - c.pdf	496 KB	06/15/2001			
Chapter 02 - d.pdf	127 KB	06/15/2001			
Chapter 03.pdf	986 KB	06/15/2001			
Chapter 04 - a.pdf	205 KB	06/15/2001			
Chapter 04 - b.pdf	137 KB	06/15/2001			
Chapter 05.pdf	750 KB	06/15/2001			
Chapter 06 - a.pdf	656 KB	06/15/2001			
Chapter 06 - b.pdf	174 KB	06/15/2001			
Chapter 07.pdf	182 KB	06/15/2001			
Chapter 08.pdf	356 KB	06/15/2001			
Chapter 09.pdf	433 KB	06/15/2001			
Y-Appendix A.pdf	118 KB	06/15/2001			
Y-Appendix A3.pdf	36 KB	06/15/2001			
Y-Appendix A cover.pdf	32 KB	06/15/2001			
Y-Appendix B.pdf	44 KB	06/15/2001			
Y-Appendix C.pdf	141 KB	06/15/2001			
Y-NIOSH Guide.pdf	1170 KB	06/15/2001			
Y-appendix A2.pdf	55 KB	06/15/2001			
Z-Glossary.pdf	58 KB	06/15/2001			
Z-Glossary Cover.pdf	13 KB	06/15/2001			





### For Curricula Catalog Issues, please contact:

Patricia Thompson, NIEHS919-541-0117<a href="mailto:thompso2@niehs.nih.gov">thompso2@niehs.nih.gov</a>

Maria Polis, Clearinghouse202-331-0060mpolis@michaeldbaker.com

WORKER EDUCATION & TRAINING PROGRAM





#### **Carolyn Mason**

**Deputy Grants Management Officer** 

Division of Extramural Research and Training

919-541-1373 9 (ph); 919-541-2860 (fax)

email: mason6@nih.gov

### FY '05 President's Budget Request



- \$28.757 billion
  - $\sim 2.6\%$  increase over FY 2004
- Approximately 10,400 competing RPG awards
  - ~ 260 increase over FY 2004
- Major initiatives
  - NIH Roadmap
  - Obesity
  - Biodefense
  - AIDS

### Change in Direct Cost Limitation On Solicited Applications



Effective April 30, 2004,
Applications (RFAs) that include a limitation on direct costs are to exclude from that limit the facilities and administrative (F&A) costs requested by consortium participants.

- NIH Guide notice, April 30, 2004:
- <a href="http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-04-040.html">http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-04-040.html</a>

## **Centralized Receipt of Non- Competing Progress Reports**



Effective with non-competing progress reports due on/after October 1, 2004

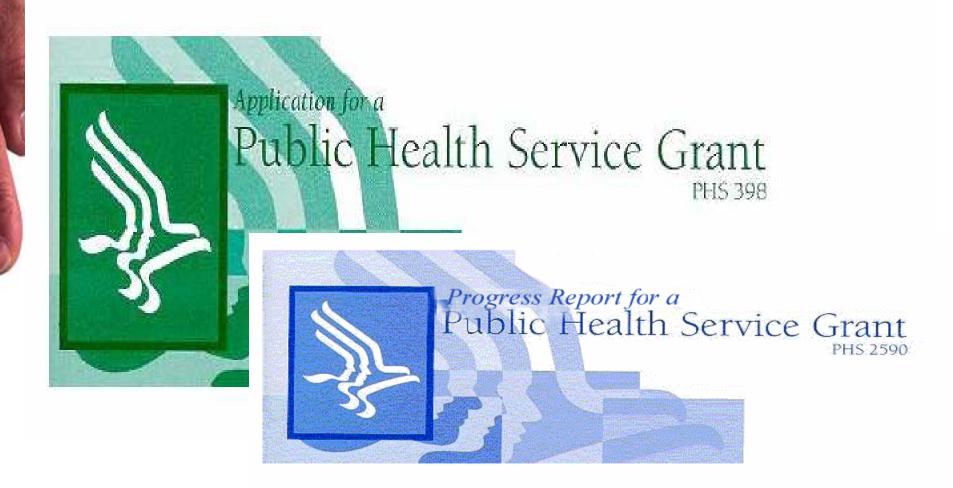
- Does NOT change the mailing address used for all new and competing grants nor that process
- Only for <u>NIH</u> progress reports
- Goal of improving efficiency

NIH Guide Notices, July 23 and September 2, 2004 http://grants.nih.gov/grants/guide/notice-files/NOT-OD-04-054.html

http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-04-063.html

# Revisions to the PHS 398 and 2590 Forms





#### **PHS 398 Revision**



- Harmonizing paper & electronic submissions by adding NIH Commons ID on face page, key personnel section & biosketch
- Will continue to keep policies & definitions in sync with NIH GPS

#### **PHS 398 Revision**



## Extensively rewritten with a focus on clarity, simplicity, & plain language

- Reorganized into 3 Parts
  - Part I: Instructions (now are truly just application instructions)
  - Part II: Supplement Instructions for Preparing the Human Subjects Section of the Research Plan
  - Part III: Policies, Assurances, Definitions & Other Information

#### PHS 398 Revision



#### Notable changes:

- Face Page: Adding "Clinical Trials Indicator"
- Form Page 2
  - Description now includes separate instruction for PI to clearly describe public health relevance
  - Created designated area for Stem Cell information
  - Added new section for "Other Significant Contributors"

## Sample Section of New PHS 398 Budget Page, Form Page 4



- Separated Consortium DC & F&A Costs
- Instructions revised to use Subtotal for Face Page Item 7a

CONSORTIUM/CONTRACTUAL COSTS	DIRECT COSTS		
SUBTOTAL DIRECT COSTS FOR INITIAL BUDGET PERIOD (Item 7a, Face Page)			
CONSORTIUM/CONTRACTUAL COSTS	FACILITIES AND ADMINISTRATIVE COSTS		
TOTAL DIRECT COSTS FOR INITIAL BUDGET PERIOD			





- Included information on electronic submission via e-SNAP
- Incorporated refined definition of Key Personnel
- Incorporated new category "Other Significant Contributors" throughout instructions
- For SNAP—modified instructions to now require submission of complete Other Support Pages if changes in active support are noted
- Form pages available in fillable Word & PDF formats

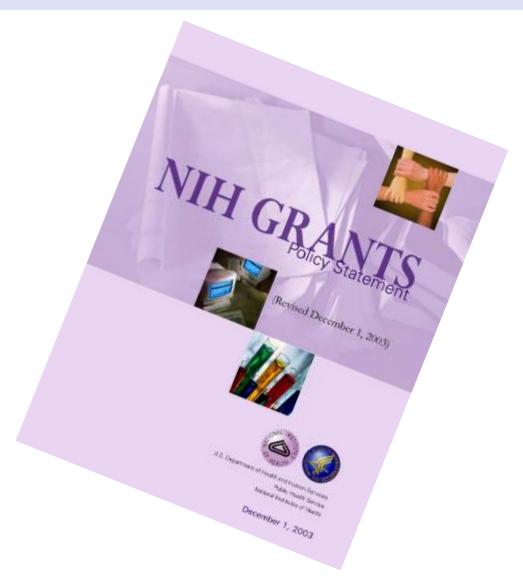
#### **PHS 2590 Revision**



- Incorporated refined definition of Key Personnel
- Incorporated new category "Other Significant Contributors" throughout instructions
- For SNAP—modified instructions to now require submission of complete Other Support Pages if changes in active support are noted
- Form pages available in fillable Word & PDF formats
- Included information on electronic submission via e-SNAP

# Revised NIH Grants Policy Statement





WORKER EDUCATION & TRAINING PROGRAM

## Revised NIH Grants Policy Statement



- Published December 1, 2003
- New NIH Grants Policy Statement includes:
  - Revisions to NIH policies since March 2001
  - Clarifications
  - Public Policy Changes
  - Index

NIH Guide notice that highlights specific changes:

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-04-009.html

NIH Grants Policy Statement (12/03 revision)

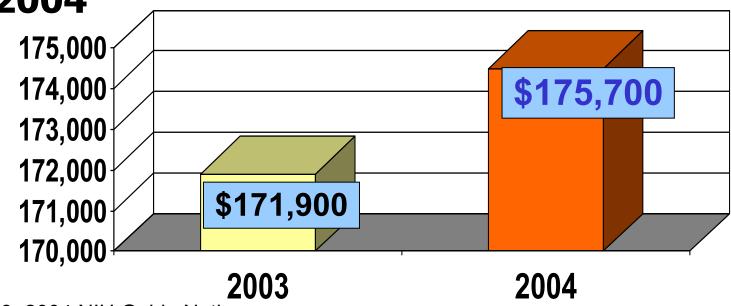
http://grants.nih.gov/grants/policy/nihgps 2003/index.htm

WORKER EDUCATION & TRAINING PROGRAM

# **Important Reminders - Salary Cap**



- Restricts the amount of direct salary under a grant or contract to Executive Level I of the Federal Executive Pay Scale
- Executive Level I increase effective January
   1, 2004



February 13, 2004 NIH Guide Notice http://grants.nih.gov/grants/guide/notice-files/NOT-OD-04-025.html



### **Other Support**



- NIH requires <u>complete</u> and <u>up-to-date</u> other support information before an award can be made
  - Complete means <u>all</u> sources of research support (including outside the applicant organization)
- Grantees must report changes in other support as part of the annual progress report
- NIH Guide, February 13, 2003

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-03-029.html





## When a conflict of interest is <u>identified</u>, the grantee must:

- Notify Chief GMO to assure that the conflict of interest is being managed, reduced, or eliminated
- Provide additional information, if requested

### FCOI requirements must be addressed in consortium agreements

See the following NIH Guide Notices for additional information:

http://grants2.nih.gov/grants/guide/notice-files/NOT-OD-00-040.html http://grants2.nih.gov/grants/guide/notice-files/not95-179.html





### Documents are due within 90 days of project period end date

- Final Financial Status Report (FSR)
- Final Inventions Statement & Certification
- Final Progress Report

Failure to submit timely reports may affect future funding to the organization

Reminder

### Non-Competing Continuation Progress Reports



- REMINDER—Don't Be Late! A recent OIG study concluded that major contributing factors to late awards were late and/or incomplete progress reports.
  - An annual progress report is due two months prior to anniversary date
  - New Business Process Beginning
     10/1/2004: Centralized Receipt of ALL NIH Progress Reports:

http://grants.nih.gov/grants/guide/notice-files/NOT-OD-04-054.html

### Non-competing Continuation Grant Progress Reports



- It is important that progress reports be complete and timely
- All grantees have access to searchable list of due progress reports at: http://era.nih.gov/userreports/pr\_due.cfm
- NIH e-mails 2 progress report reminders to the PI
  - Two months prior to the due date
  - Two weeks after the due date for overdue reports

NIH Guide, August 5, 2003:

http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-03-054.html

# **Applications and Forms: Questions?**



Dedicated e-mail address for questions on format:

format@mail.nih.gov

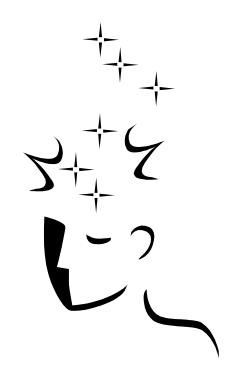
Frequently asked questions on format:

http://www.format.nih.gov





### **Intellectual Property**



### Invention Reporting Requirements of Grantee/Contractors per the Bayh-Dole Act



Administrative Requirements (per 37CFR, 401.14)

- Implement Employee Agreements ⇒ at employment
- Disclose Each Invention ⇒ within 60 days
- Resolve Election or Waive of Title ⇒ within 2 years
- File Patent ⇒ within 1 yr. of election
- Provide License to the Govt. 
   ⇒ upon title election
- Indicate Govt. Support on Patent ⇒ with patent appl.
- Share Royalties With Inventor 

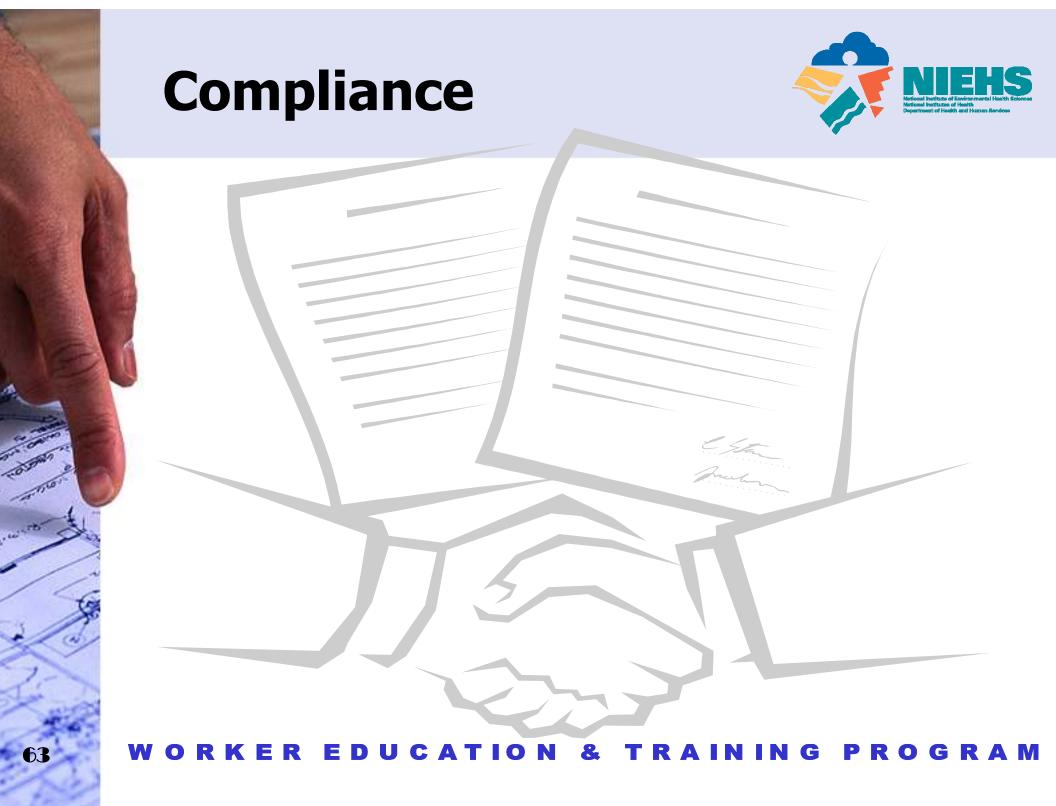
   ⇒ when available
- License Small Businesses ⇒ where feasible
- Product Manufacturing in U.S. ⇒ required
- Report on Invention Utilization ⇒ annually

WORKER EDUCATION & TRAINING PROGRAM





- Information on reporting requirements and policy, as well as electronic systems to fulfill reporting requirements, may be found at Interagency Edison, http://www.iedison.gov.
- All foreign grantees, contractors, consortium participants and/or subcontractors are reminded that they must comply with Bayh-Dole invention reporting requirements



# **Common Institutional Compliance Issues**



- Misuse of funds
- Unallowable costs
- Misallocation of costs
- Deficient effort reporting
- Inadequate subrecipient monitoring
- Delinquent closeout
- Noncompliance with assurances



# **Common Contributors to Compliance Problems**



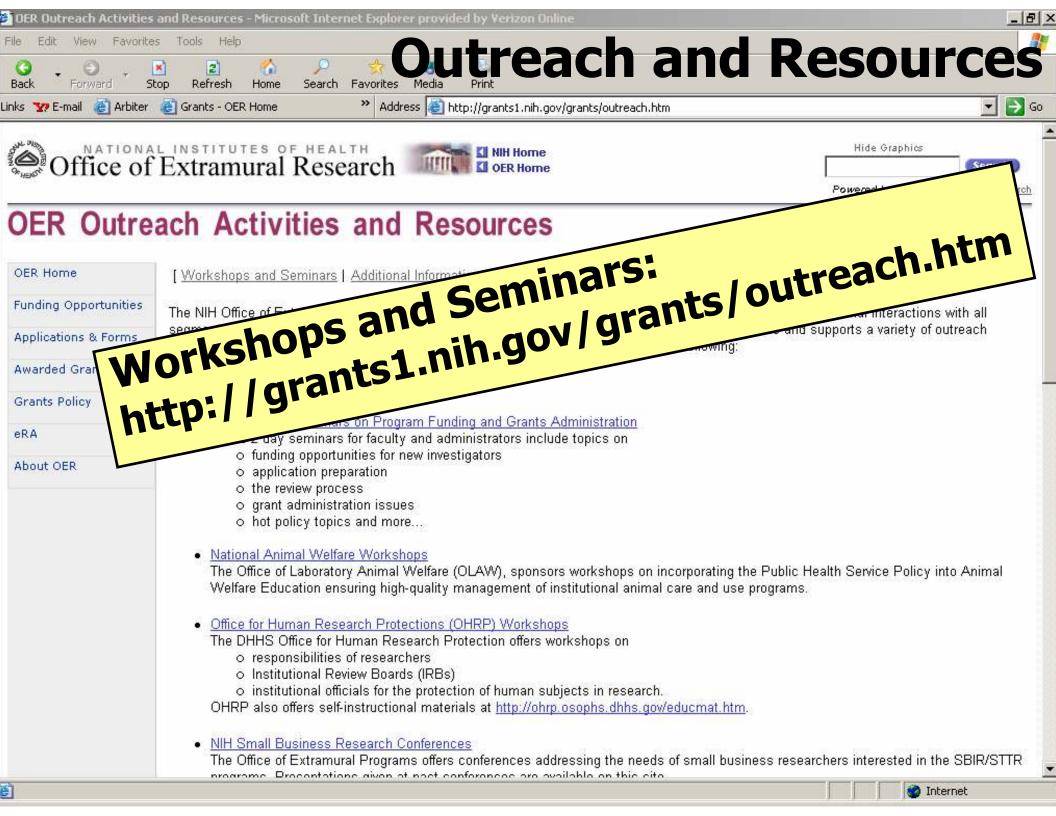
- Inadequate resources
- Lack of understanding of roles and responsibilities of institutional staff
- Inadequate staff training and education
- Outdated or nonexistent policies and procedures
- Inadequate management systems (e.g., effort reporting, financial management)
- Perception that internal control systems are not necessary

#### What Can You Do?

Elements of an Effective Compliance Program



- Formalized compliance function
- Current, written policies and procedures
- Defined roles and responsibilities
- Ongoing training and education program
- Effective communication
- Effective management systems and internal controls
- Enforcement of compliance standards
- Appropriate and prompt response to noncompliance



### NIH Regional Seminars on Program Funding and Grants Administration



- NIH holds 2 Regional Seminars a year
- 2005 seminars:
  - April 7-8, 2005 in Albuquerque, NM
  - June 23-24, 2005 in West Lafayette, IN
- Geared towards research administrators, investigators new to NIH, and trainees
- Seminar information:

http://grants.nih.gov/grants/seminars.htm





WORKER EDUCATION & TRAINING PROGRAM

# **eRA Statistics NIH Commons**



	May '03	Dec. '03	Sept. '04
Institutions	425	737	1,732
eSNAPs	165	633	2,833
FSRs	7,000	20,656	35,610
JIT	N/A	N/A	554
No Cost Ext.	N/A	N/A	2,894
Users	3,100	8,177	24,632

### **Commons Functionality**



#### Profiles

- Institutional Profile (IPF)
- Professional Profile (PPF)

#### Status

- View status of pending/awarded applications
- View and access priority score/summary statement (PI only)
- View NIH staff contact information for each application
- Link to study section rosters and dates of meetings
- Link to NGA and other grant related documents

#### e-SNAP

- Submission of non-competing progress report
- Open to all Institutions October 2004

### **Commons Functionality**



- FSR (Financial Status Reports)
  - Submission of financial status reports
  - Same system is used by NIH Office of Financial Mgmt.
- IAR (Internet Assisted Review)
  - Reviewer submission of critiques and preliminary scores prior to study section meeting
- Demo Facility
  - Anyone can use (don't need a Commons Acct)
  - Contains all functionality of production system
  - User creates their own training accounts & institution
  - Standard data populates new accounts
  - Great for on-campus training classes

# Commons Functionality New Features (11/03)



#### **Submit Extensions**

- Grantees can now submit notification to NIH of the initial no-cost extension of the final budget period in a previously approved competing segment.
- Automatically updates budget/project period end dates in the NIH database.
- Automatically generates e-mail notifications to assigned NIH staff and the grantee institution.
- Have until the current budget/project end date to submit (10 day window required for hard copy notifications has been waived for electronic notifications.)

# Commons Functionality New Features (cont)



- Just-In-Time Submission
  - Grantees can now electronically submit the 4 data elements included in the just-in-time submission
    - IACUC approval date
    - IRB approval date
    - Other support page for key personnel
    - Human subjects education information for key personnel involved with human subjects
  - Currently allows only a single submission per grant--requires all data at the same time. (Future enhancement will allow multiple submissions.)





### Commons Support Page: <a href="http://era.nih.gov/commons">http://era.nih.gov/commons</a>

- Release notes
- User guides
- Deployment plans
- User tips
- FAQs

#### **eRA Help Desk:**

### Mon-Fri, 7:30-5:30 Eastern Time

- (866) 504-9552 (Voice-Toll Free)
- (301) 402-7469 (Voice-Local)
- (301) 451-5675 (Fax)
- (301) 451-5939 (TTY)
- commons@od.nih.gov